

Board of Governors
Agenda for the meeting to be held on
19 November 2014 at 10:15 in Sunley Centre

10.15

1. Welcome, Apologies (NR, MG), Quorum
2. Declarations of Interest
3. Minutes, Paper AA / ARR TB
- 3.1 of Meeting held on 11 November 2014
4. Matters Arising from 22 October and 11 November, Actions, and Follow up including - 3rd UTC and Anti-Fraud Policy, Paper A / AR, B / B1, TB / B2

10.30

TO DISCUSS & TO APPROVE - Strategic items

5. Waterside
- 5.1 Follow up to 11 November, Paper C TB
- 5.2 Further Update on Project and appendixes
Chief Operating Officer/Waterside Project Director

10.45

6. THEME: Financial Sustainability
- 6.1 Consolidated Annual Report & Financial Statements: 2013-14
including cover paper and letters of support, Paper D1
Vice Chancellor and Deputy Director of Finance
- 6.2 Financial Statements of the Universities Subsidiaries:
 - University of Northampton Enterprises Ltd Report and Financial Statements as at 31 July 2014, Paper D2a
 - iCon East Midlands Ltd Report and Financial Statements as at 31 July 2014, Paper D2b

- iCon Operations Ltd Report and Financial Statements as at 31 July 2014, Paper D2c
 - Inspire2Enterprise CIC Ltd Statements as at 31 July 2014, Paper D2d
Deputy Director of Finance, Paper D3
- 6.3 Management Representation Letters 2014, Paper D4
Vice Chancellor and Deputy Director of Finance
- 6.4 External Auditors' Report to those charged with governance (ISA 260) 2014, Paper D5
Chair of Audit Committee and Deputy Director of Finance
- 6.5 Internal Audit Annual Report: 2013-14, Paper D6
Chair of Audit Committee and Deputy Director of Finance
- 11.30
- 6.6 Audit Committee Annual Report: 2013-14
Chair of Audit Committee
- 6.7 Going Concern Report 2014, Paper E
Deputy Director of Finance
- 6.8 Annual Report on Subsidiaries - 2013-14, Paper F
Deputy Director of Finance
- 6.9 Annual Report on Procurement -2013-14, Paper G
Deputy Director of Finance
- 6.10 Annual Report on Value for Money -2013-14, Paper H
Deputy Director of Finance
- 6.11 Annual Report on Fraud, Corruption and Bribery: 2013 -14, Paper I
Director of Finance and Clerk
- 6.12 Student Union Final Accounts - 2013-14, Paper J
President of Students' Union and Director of Finance
- 12.15
- TO APPROVE Core Business items
7. Performance, Finance, Risk Matters
- 7.1 Finance KPis & University KPis update - ASSUR Sustainability metrics and Key Performance Indicators, Paper K

- Executive Officer OVC and Deputy Director Finance
- 7.2 Assurances on Data Quality and Systems, Paper L
Executive Officer OVC and Deputy Director Finance
- 7.3 Risk Management - Annual Report on Risk Management and Risk
Management Policy, Paper M
Executive Officer OVC
- 7.4 Governor Insurance and Annual Insurance Report, Paper N
Deputy Director of Finance
- 7.5 Period 3 Management Accounts 2014-15, Paper O
Deputy Director of Finance
- 7.6 Recommendations for Honorary Awards from the Honorary Awards
Committee on 15 October 2014, Paper P
Chair of the Honorary Awards Committee
- 8. Policies and procedures
- 8.1 Finance: None
- 8.2 Human Resources: Material in preparation, Paper Q TB
- 8.3 Student: Material in preparation, As above
- 9. Compliance and governance
- 9.1 Recommendations on Board & Committee membership from the
Nominations Committee 22 October 2014, Paper R
Chair of Nominations Committee
- 9.2 Committee of University Chairs - Draft Code of Governance, Paper S
Clerk
- 12.45
- 10. TO RECEIVE
- 10.1 University Management Structures, Oral update
Vice Chancellor
- 10.2 Minutes and Reserved Minutes of the Honorary Awards Committee
15 October 2014, Paper T
Chair of Honorary Awards Committee
- 10.3 Minutes of the Nominations Committee 22 October 2014, Paper U
Chair of Nominations Committee

- 10.4 Minutes of the Senate meeting 5 November 2014, Paper V
Vice Chancellor
- 10.5 Minutes and Private Business Minutes of the Audit Committee 5
November 2014, Paper W / WR, WP TB
Chair of Audit Committee
- 10.6 Update on Foundation and Overview of Scholarships, Paper X
Chair of Advancement Committee
- 10.7 Update on Calendar and Board of Governors activity, Paper Y
Chair of Board/Clerk
- 13.10
11. FOR NOTE - Any Other Business
Items as necessary from:
Chair of the Board of Governors
Vice Chancellor
Chief Operating Officer
Student Union President
Other members
Clerk
12. Confirmation of availability of Papers
Chair of Board
- 13.15
13. Date of next meetings
17 December Board Lunch;
15-16 January 2015 Awayday;
Board 21 January 2015
14. Close followed by Lunch (30 mins)